

BlockQuicksort: Avoiding Branch Mispredictions in Quicksort

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Outline:

- Quicksort revisited
- Effect of branch mispredictions on Quicksort
- Block partitioning
- Experimental results

Sorting

Task: Sort a sequence of elements of some totally ordered universe.

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Aim: Improve Quicksort for random inputs.

Quicksort

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1: procedure QUICKSORT( $A[\ell, \dots, r]$ )
2:   if  $r > \ell$  then
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4:     cut  $\leftarrow$  partition( $A[\ell, \dots, r]$ , pivot)
5:     Quicksort( $A[\ell, \dots, \text{cut} - 1]$ )
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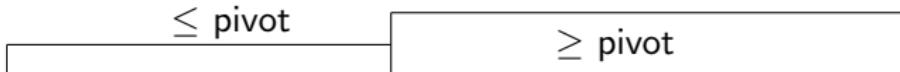
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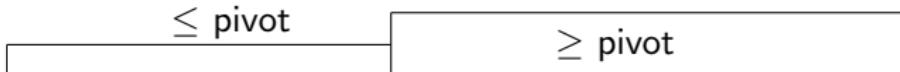
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- After line 6: both parts sorted recursively with Quicksort



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Here: general purpose algorithm with few branch mispredictions.

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- Processor pipeline stages:
 - Instruction fetch
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 - Memory access
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 - for *if* statements, take always the *if* branch,
 - for loops (*while*, *for*), assume that the loop is repeated.
- If the execution follows the wrong branch, the content of the pipeline has to be discarded and the pipeline filled again.
~~ many clock-cycles wasted

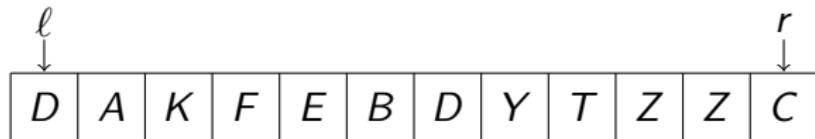
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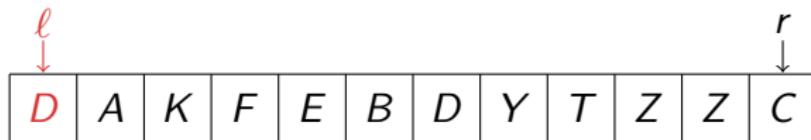
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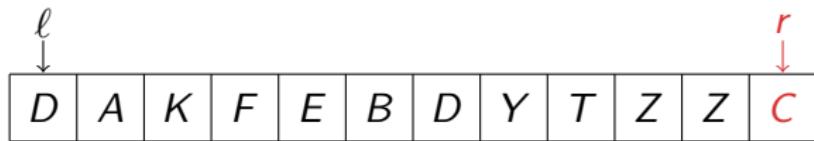
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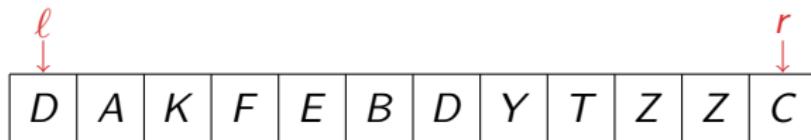
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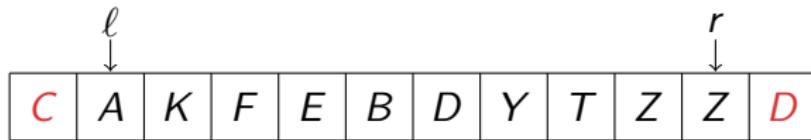
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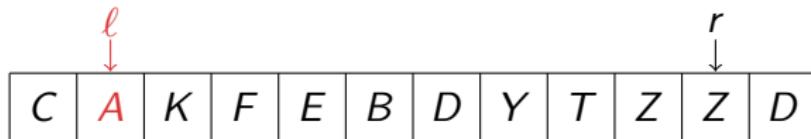
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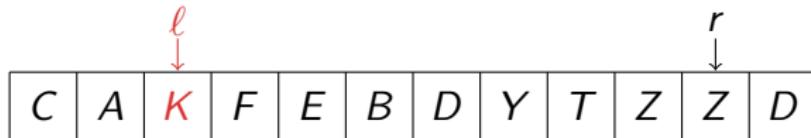
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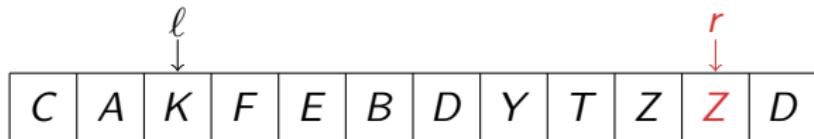
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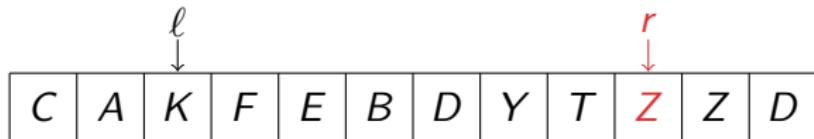
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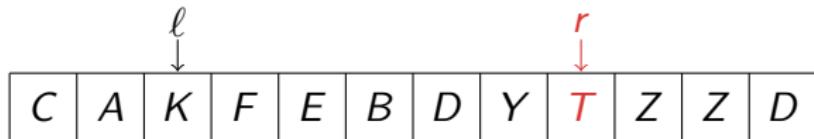
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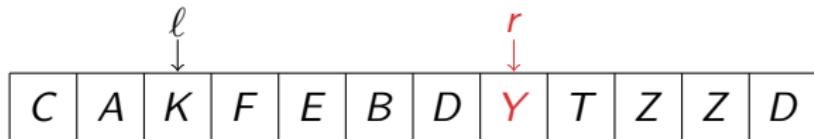
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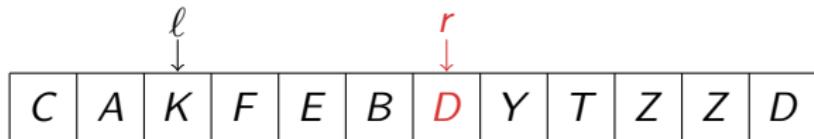
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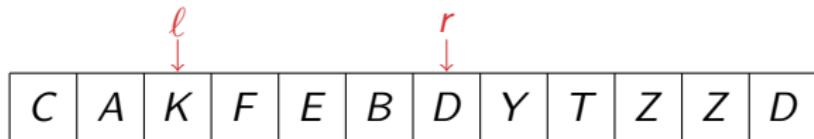
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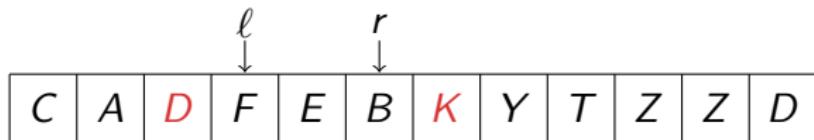
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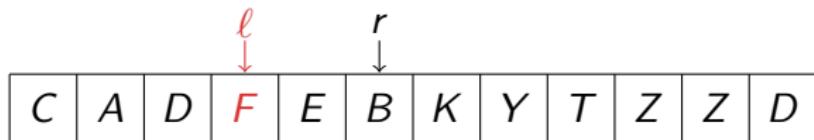
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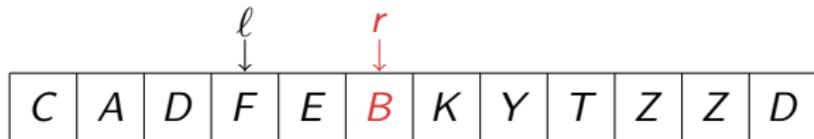
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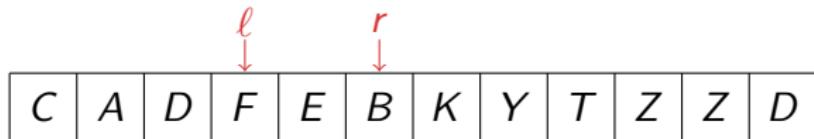
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- if pivot = median, there is a 50% chance for each
 - ~ branch predictor can only “guess”
- many clockcycles wasted with refilling the pipeline.

Partitioning is affected by branch mispredictions

Theorem (Kaligosi, Sanders, 2006)

Quicksort incurs $0.35n \log n + \mathcal{O}(n)$ branch mispredictions on average with static branch prediction.

For comparison: Quicksort needs $1.38n \log n$ comparisons on average.

- Also, Kaligosi and Sanders established experimentally that a skewed pivot improves the running time of Quicksort.
- We repeated their experiments: best results if the $\frac{n}{6}$ -th element is chosen as pivot.
~~ e. g. select 60 elements and choose pivot as the 10th of them.

Block Partitioning

Choose block size B (we use $B = 128$)

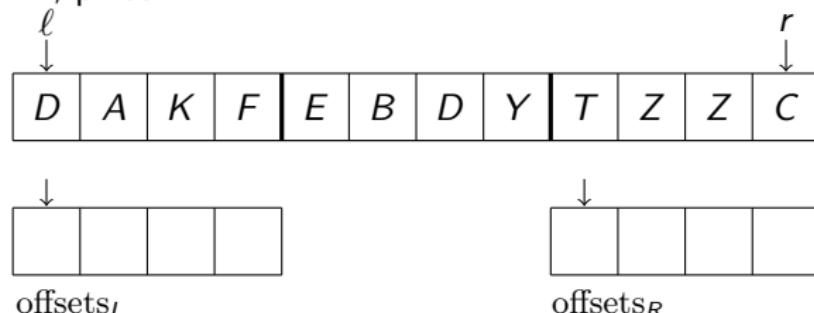
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2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
3:   integer startL, startR, numL, numR  $\leftarrow 0$ 
4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
5:     ScanLeft
6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
```

Block Partitioning

Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
3:   integer startL, startR, numL, numR  $\leftarrow 0$ 
4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
5:     ScanLeft
6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
```

Block size $B = 4$, pivot = “D”:

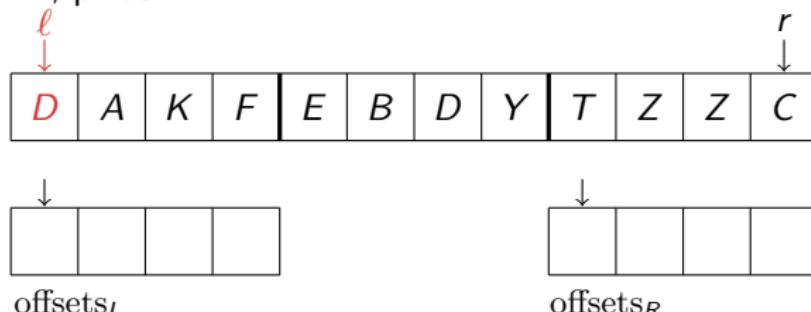


Block Partitioning

Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
3:   integer startL, startR, numL, numR  $\leftarrow 0$ 
4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
5:     ScanLeft
6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
```

Block size $B = 4$, pivot = “D”:

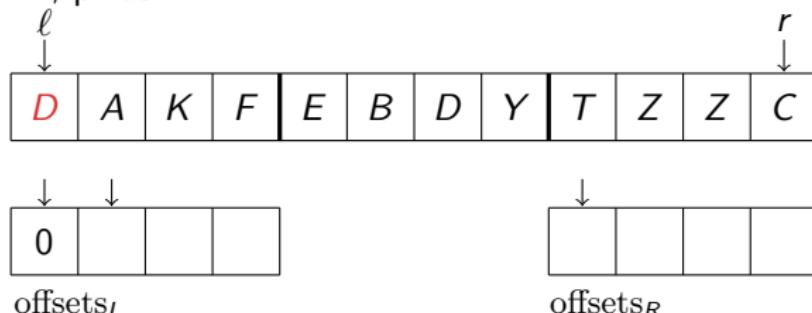


Block Partitioning

Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
3:   integer startL, startR, numL, numR  $\leftarrow 0$ 
4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
5:     ScanLeft
6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
```

Block size $B = 4$, pivot = “D”:

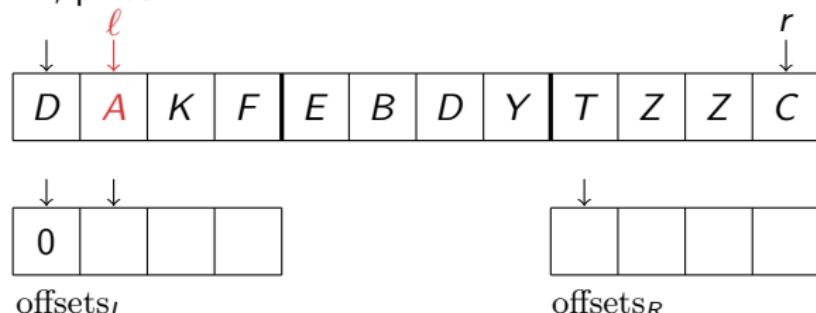


Block Partitioning

Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
3:   integer startL, startR, numL, numR  $\leftarrow 0$ 
4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
5:     ScanLeft
6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
```

Block size $B = 4$, pivot = “D”:

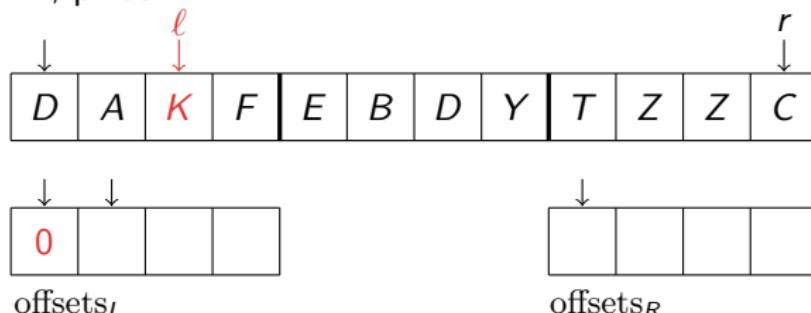


Block Partitioning

Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
3:   integer startL, startR, numL, numR  $\leftarrow 0$ 
4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
5:     ScanLeft
6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
```

Block size $B = 4$, pivot = “D”:

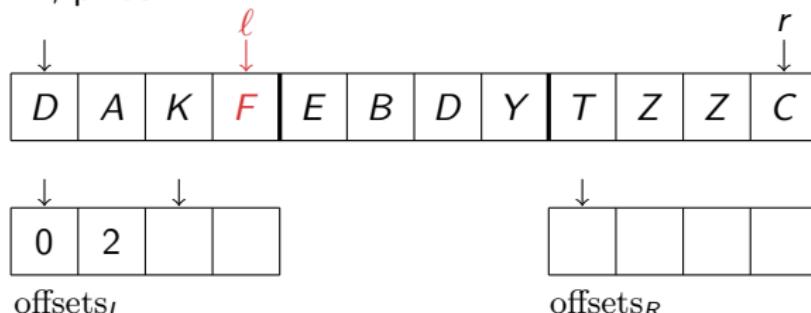


Block Partitioning

Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
3:   integer startL, startR, numL, numR  $\leftarrow 0$ 
4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
5:     ScanLeft
6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
```

Block size $B = 4$, pivot = “ D ”:

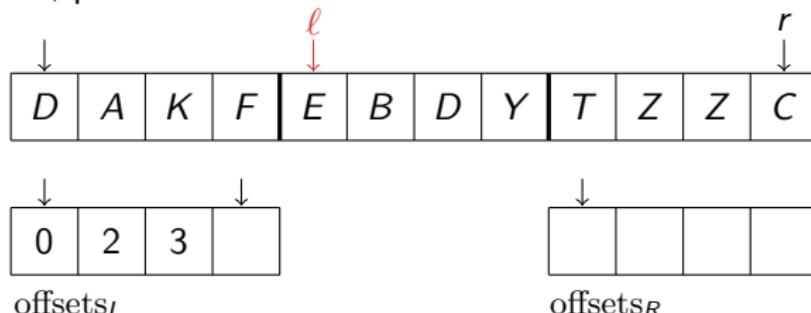


Block Partitioning

Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
3:   integer startL, startR, numL, numR  $\leftarrow 0$ 
4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
5:     ScanLeft
6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
```

Block size $B = 4$, pivot = “D”:

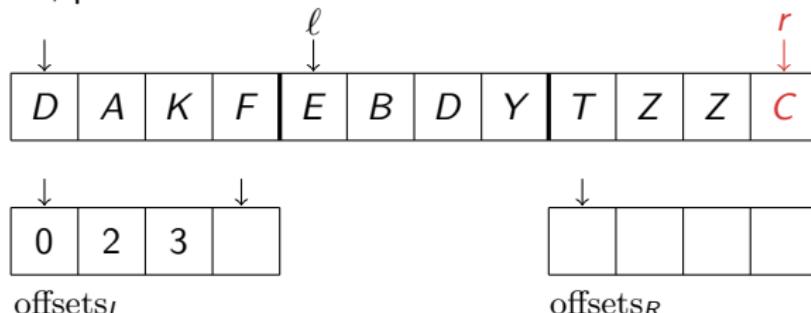


Block Partitioning

Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
3:   integer startL, startR, numL, numR  $\leftarrow 0$ 
4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
5:     ScanLeft
6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
```

Block size $B = 4$, pivot = “D”:

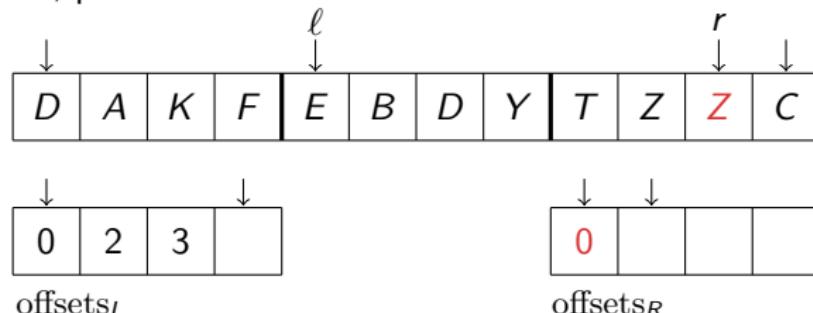


Block Partitioning

Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
3:   integer startL, startR, numL, numR  $\leftarrow 0$ 
4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
5:     ScanLeft
6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
```

Block size $B = 4$, pivot = “D”:

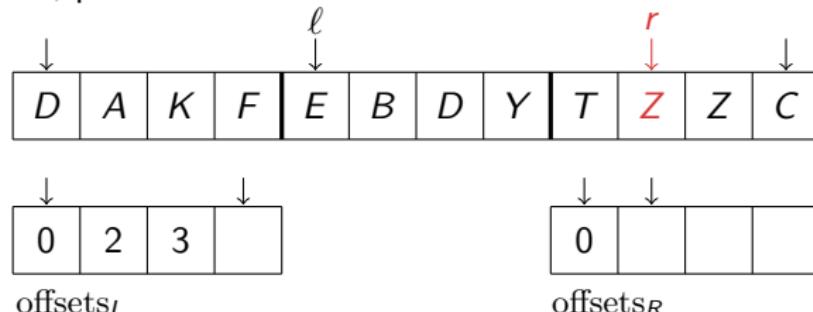


Block Partitioning

Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
3:   integer startL, startR, numL, numR  $\leftarrow 0$ 
4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
5:     ScanLeft
6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
```

Block size $B = 4$, pivot = “D”:

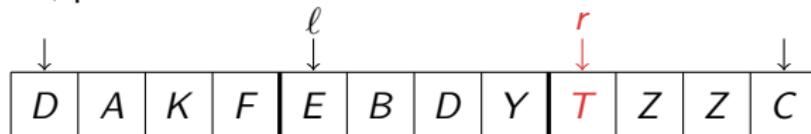


Block Partitioning

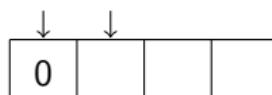
Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
3:   integer startL, startR, numL, numR  $\leftarrow 0$ 
4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
5:     ScanLeft
6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
```

Block size $B = 4$, pivot = “D”:



offsets_L



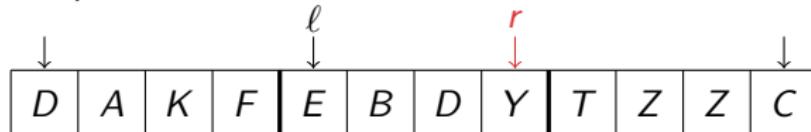
offsets_R

Block Partitioning

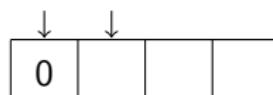
Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
3:   integer startL, startR, numL, numR  $\leftarrow 0$ 
4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
5:     ScanLeft
6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
```

Block size $B = 4$, pivot = “D”:



offsets_L



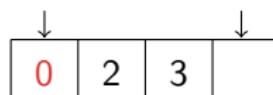
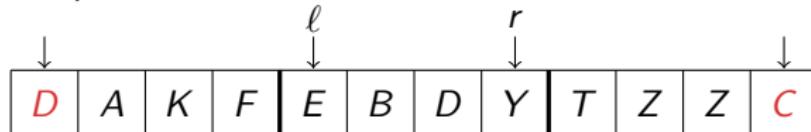
offsets_R

Block Partitioning

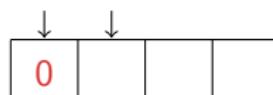
Choose block size B (we use $B = 128$)

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1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
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6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
```

Block size $B = 4$, pivot = “D”:



offsets_L



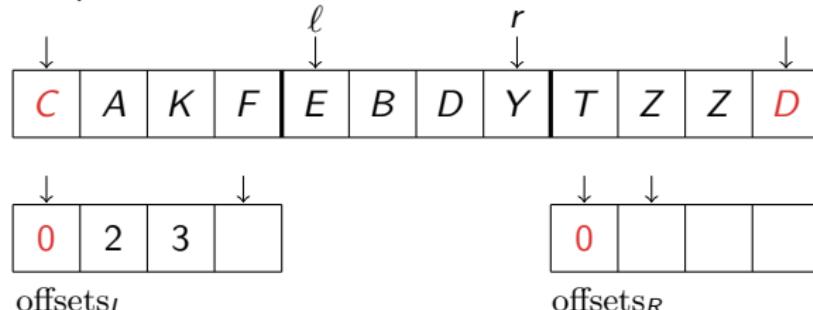
offsets_R

Block Partitioning

Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
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6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
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```

Block size $B = 4$, pivot = “D”:

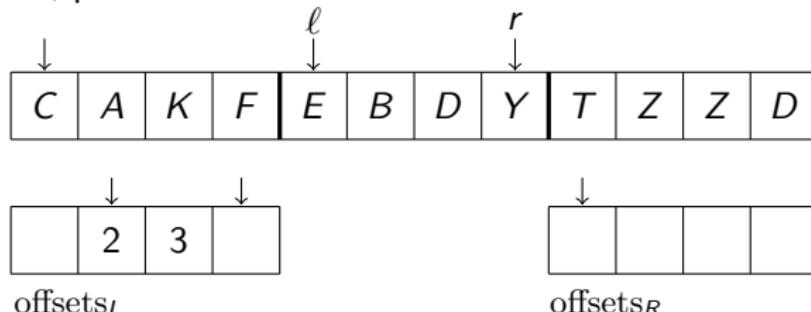


Block Partitioning

Choose block size B (we use $B = 128$)

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1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
3:   integer startL, startR, numL, numR  $\leftarrow 0$ 
4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
5:     ScanLeft
6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
```

Block size $B = 4$, pivot = “D”:

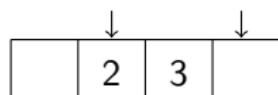
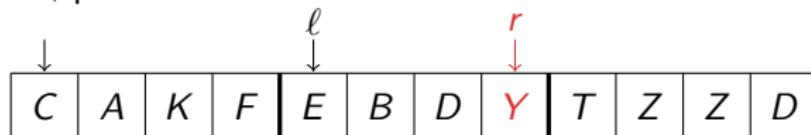


Block Partitioning

Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
3:   integer startL, startR, numL, numR  $\leftarrow 0$ 
4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
5:     ScanLeft
6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
```

Block size $B = 4$, pivot = “D”:



offsets_L



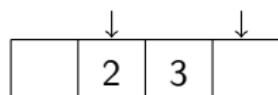
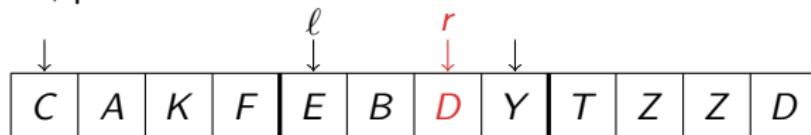
offsets_R

Block Partitioning

Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
3:   integer startL, startR, numL, numR  $\leftarrow 0$ 
4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
5:     ScanLeft
6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
```

Block size $B = 4$, pivot = “D”:



offsets_L



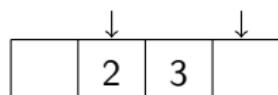
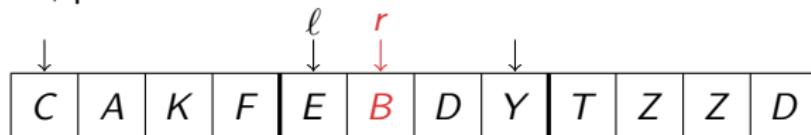
offsets_R

Block Partitioning

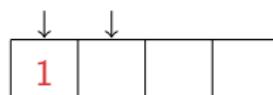
Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
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6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
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Block size $B = 4$, pivot = “D”:



offsets_L



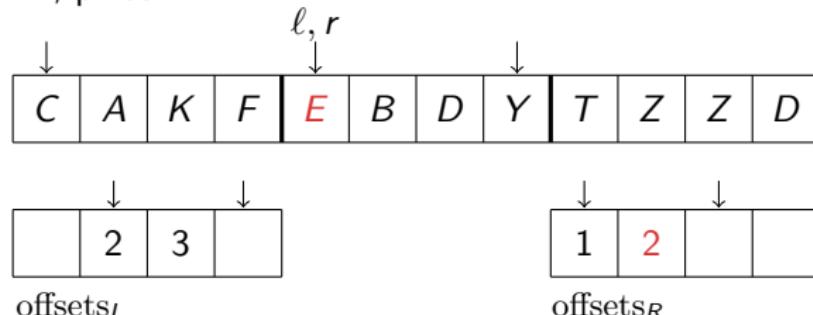
offsets_R

Block Partitioning

Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
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4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
5:     ScanLeft
6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
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Block size $B = 4$, pivot = “D”:

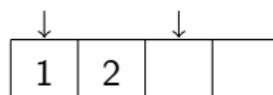
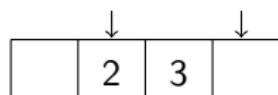
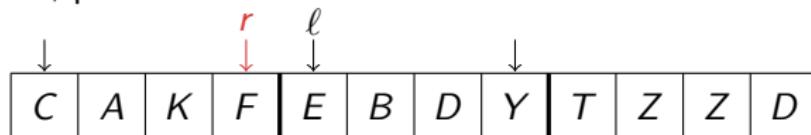


Block Partitioning

Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
3:   integer startL, startR, numL, numR  $\leftarrow 0$ 
4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
5:     ScanLeft
6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
```

Block size $B = 4$, pivot = “ D ”:



offsets_L

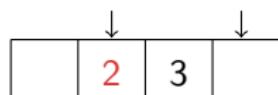
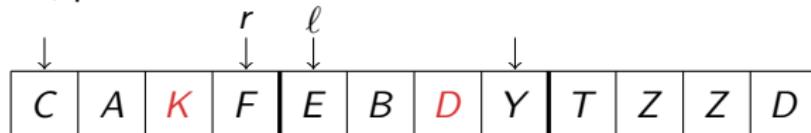
offsets_R

Block Partitioning

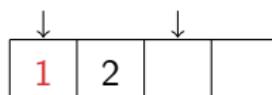
Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
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4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
5:     ScanLeft
6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
```

Block size $B = 4$, pivot = “D”:



offsets_L



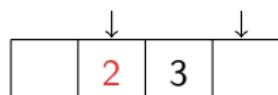
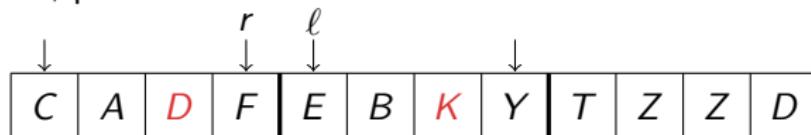
offsets_R

Block Partitioning

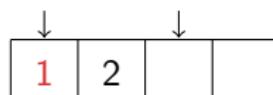
Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
3:   integer startL, startR, numL, numR  $\leftarrow 0$ 
4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
5:     ScanLeft
6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
```

Block size $B = 4$, pivot = “D”:



offsets_L



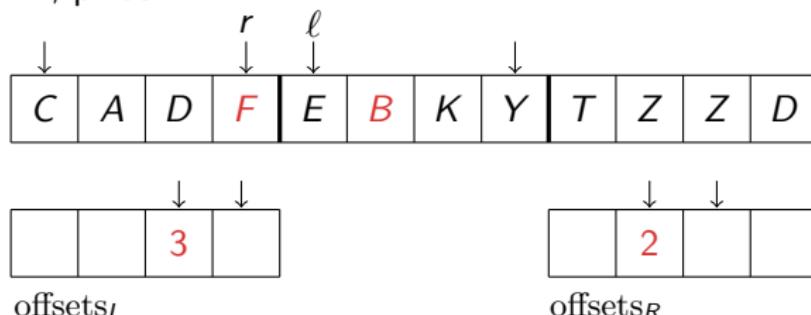
offsets_R

Block Partitioning

Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
3:   integer startL, startR, numL, numR  $\leftarrow 0$ 
4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
5:     ScanLeft
6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
8:   end while                                          $\triangleright$  end main loop
9:   scan and rearrange remaining elements
10: end procedure
```

Block size $B = 4$, pivot = “D”:

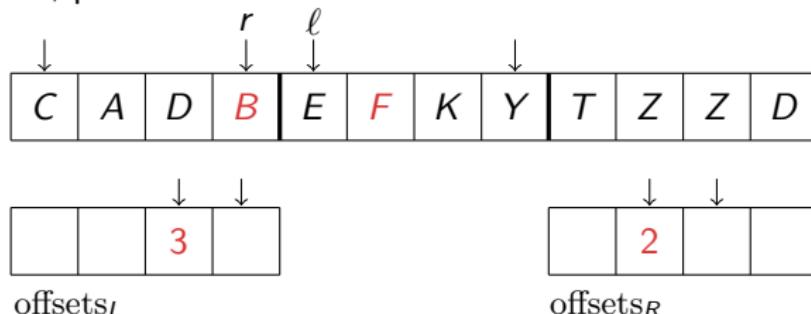


Block Partitioning

Choose block size B (we use $B = 128$)

```
1: procedure BLOCKPARTITION( $A[\ell, \dots, r]$ , pivot)
2:   integer offsetsL[0, ...,  $B - 1$ ], offsetsR[0, ...,  $B - 1$ ]
3:   integer startL, startR, numL, numR  $\leftarrow 0$ 
4:   while  $r - \ell + 1 > 2B$  do                                 $\triangleright$  start main loop
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6:     ScanRight
7:     Rearrange                                          $\triangleright$  swap elements
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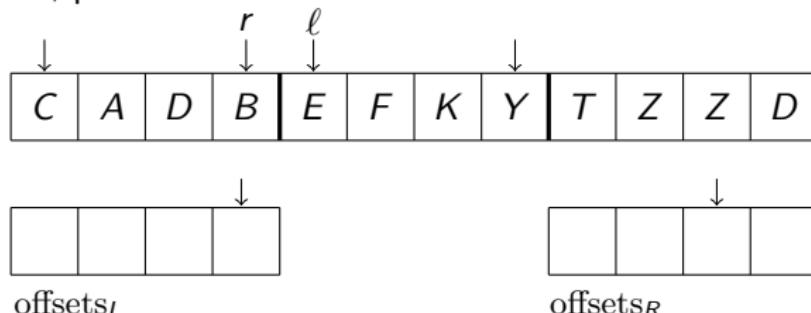


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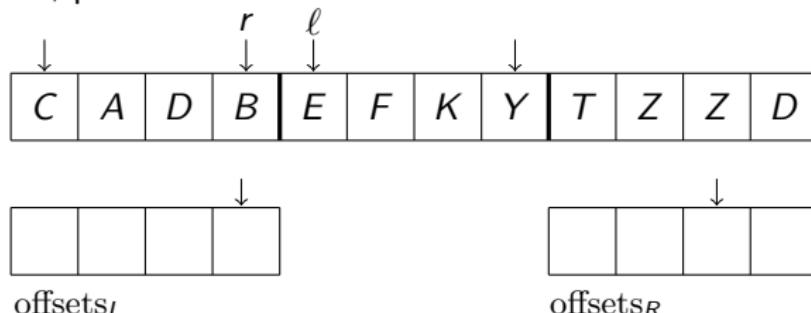


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9:   scan and rearrange remaining elements
10: end procedure
```

Block size $B = 4$, pivot = “D”:



Block Partitioning

```
1: procedure SCANLEFT
2:   if numL = 0 then                                ▷ if left buffer is empty, refill it
3:     startL ← 0
4:     for i = 0, . . . , B − 1 do
5:       offsetsL[numL] ← i
6:       numL += (pivot ≥ A[ℓ + i])      ▷ comparison returns 0 or 1
7:     end for
8:   end if
9: end procedure
```

- Current offset is always written into offset array – without considering outcome of the comparison.
- Conversion from Boolean (processor flag) to integer – supported in hardware on x86 and many other processors (setcc)
~~ (almost) no unpredictable conditional statements

Block Partitioning

- Number of comparisons, moves, . . . like for classical Quicksort.

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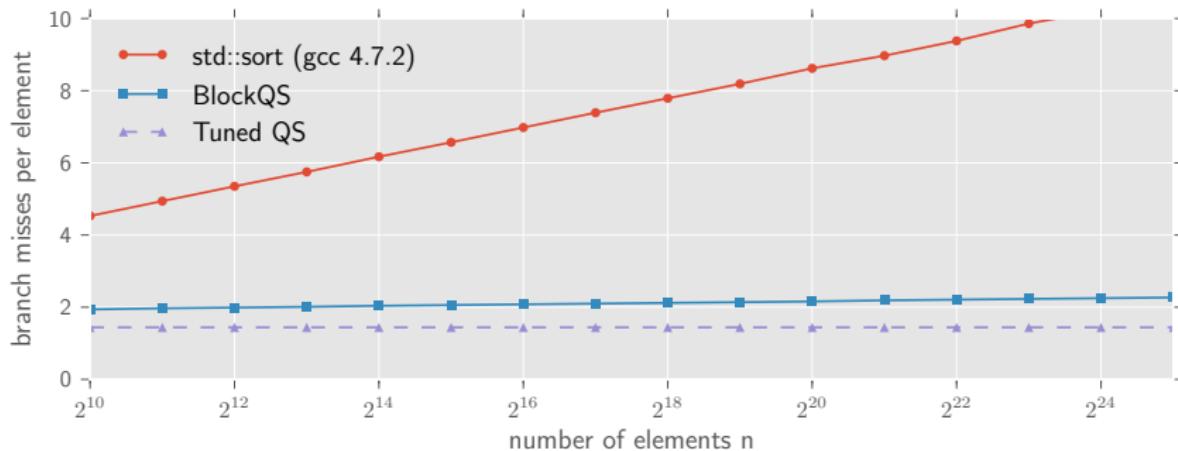
BlockQuicksort with median-of-three induces less than $\frac{8}{B}n \log n + \mathcal{O}(n)$ branch mispredictions on average with static branch prediction.

Block Partitioning

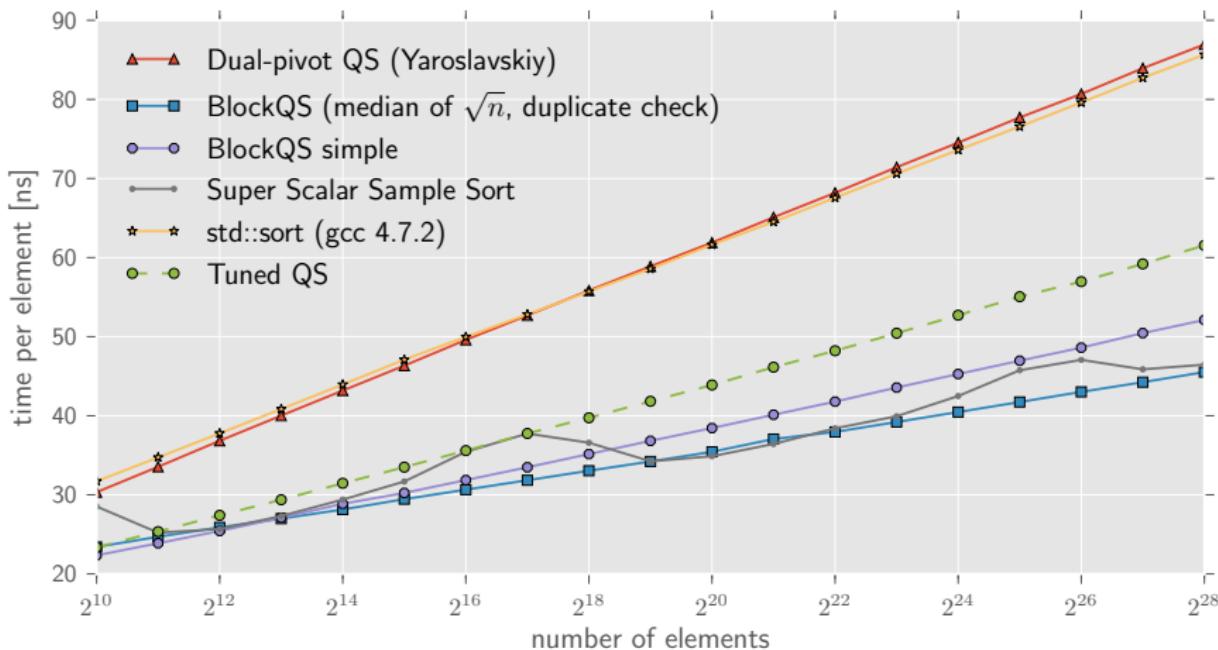
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Theorem

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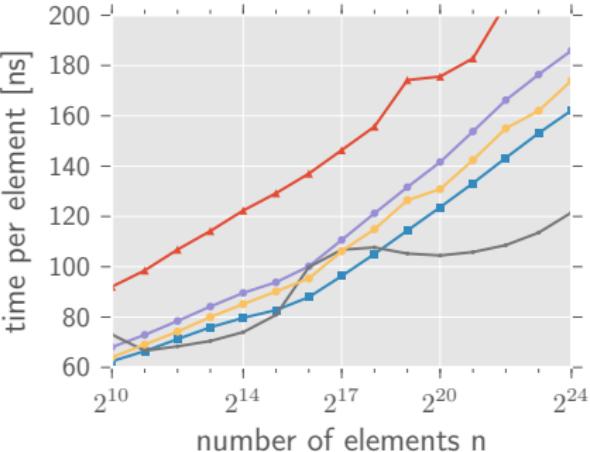
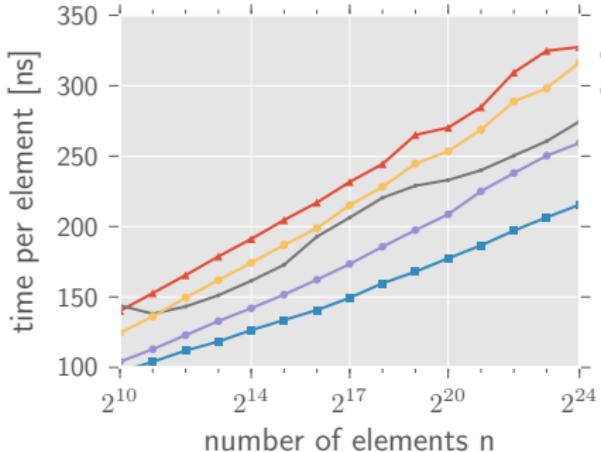
Experiments with random permutations of integers



Test environment:

- Intel Core i5-2500K CPU (3.30GHz) with 16GB RAM
- Ubuntu Linux 64bit version 14.04.4
- g++ (4.8.4) compiler with flags `-O3 -march=native`

Experiments with other data types



— Dual-pivot QS (Yaroslavskiy)

— BlockQS (median of \sqrt{n} , duplicate check)

— BlockQS simple

— Super Scalar Sample Sort

— std::sort (gcc 4.7.2)

left Record of 10 doubles, comparison via the Euclidean norm.

right Record of 21 ints, only the first component is compared.